



CITY OF WHEATLAND

CITY COUNCIL MEETING STAFF REPORT

February 9, 2021

SUBJECT: Annual TDA Claim Fiscal Year 2021

PREPARED BY: Susan Mahoney, Finance Director

Recommendation

Council discussion and consideration to approve Resolution 04-21, the Transportation Development Act (TDA) Fiscal Year 2020-2021 claim to the Sacramento Area Council of Governments (SACOG).

Background/Discussion

SACOG is the designated regional transportation planning agency responsible for administering the TDA funds for the counties of Sacramento, Sutter, Yolo, Yuba and the cities and transit operators therein.

The TDA provides two major sources of public transportation funding in California – Local Transportation Fund (LTF) and State Transit Assistance (STA). LTF funds, generated from a ¼ cent retail sales tax, are apportioned to eligible local agencies based generally on population. The City's apportionment for fiscal year 2020-2021 is \$77,955 of which \$2,339 will be kept by SACOG for administration. STA funds, generated from taxes on diesel fuel, are apportioned to eligible agencies based on population and transit operator revenues. The City's STA funds are, by contract, apportioned 100% directly to the Yuba-Sutter Transit Authority.

Resolution 04-21 authorizes staff to file the TDA claim for fiscal year 2020-2021 apportionment.

Alternatives

The alternative is to not submit the claim.

Fiscal Impact

If the claim is submitted the City will receive \$75,616 to be used for street maintenance projects. In fiscal year 2019-2020, the City received \$84,358.

Attachments

1. Resolution Authorizing the Filing of a TDA Claim for Fiscal Year 2020-2021

RESOLUTION NO. 04-21

**A RESOLUTION OF THE CITY COUNCIL OF THE CITY OF
WHEATLAND AUTHORIZING THE FILING OF A
TRANSPORTATION DEVELOPMENT ACT CLAIM FOR FISCAL
YEAR 2020-2021**

WHEREAS, the City of Wheatland is a member of the Sacramento Area Council of Governments (SACOG); and

WHEREAS, SACOG provides transportation and funding services to member entities including the administration of Transportation Development Act resources (TDA); and

WHEREAS, the City of Wheatland has included in its fiscal year 2020-2021 budget funding for street improvement projects which requires all the TDA, Local Transportation Funds (LTF) that are available and are estimated to be available for City projects through June 30, 2021; and

WHEREAS, the City of Wheatland wishes to file a TDA claim to SACOG to receive all available TDA LTF resources for funding of the City's annual project and expenditure plan.

NOW, THEREFORE BE IT RESOLVED BY THE COUNCIL OF THE CITY OF WHEATLAND THAT:

1. The above statements are true and correct.
2. That the Transportation Development Act Claim attached hereto as Exhibit "A" is authorized for submittal to SACOG.

ADOPTED as a Resolution of the City Council of the City of Wheatland at a meeting thereof held on the 9th day of February 2021 by the following vote:

AYES:
NOES:
ABSENT:
ABSTAINING:

BY: _____
Rick West, Mayor

ATTEST: _____
Lisa J. Thomason, City Clerk

**SACOG
TRANSPORTATION DEVELOPMENT ACT CLAIM PACKET**

CLAIM CHECKLIST

Please check the following items as being either included with the attached TDA claim package or are on file at SACOG:

Item	Claimant	Attached	On File
ALL CLAIMANTS			
TDA – 1 Annual Transportation Development Claim	All claimants		
TDA – 2 Project and Expenditure Plan (for the fiscal year of this claim and the prior fiscal year)	All claimants		
TDA – 3 Status of Previously Approved Projects	All claimants		
TDA – 4 Statement of Conformance	All claimants		
TDA – 5 TDA Claim Certification	All claimants		
Resolution by governing body that authorized the claim	All claimants		
IF CLAIMANT IS A TRANSIT AGENCY OR JURISDICTION IS CONTRACTING FOR TRANSIT SERVICE			
CHP Safety Compliance Report (Completed within the past 13 months)	Claimants for transit service		
Adopted or proposed budget for the fiscal year of the claim	Claimants for transit service		
Signed copy of transit service contract	Claimants for transit service		
Area wide transfer agreement, resolution	Claimants allowing inter-system transfers		
Information establishing eligibility under efficiency Criteria – STA Operator Qualifying Criteria calculation based on section 99314.6	Claimants for revenue-based STA funds		
Certification that claim is consistent with Capital Improvement Program	Claimants for bike/ped facilities		
Compliant with PUC Sec. 99155 & 99155.5	Claimants for transit service		
Copy of Ten-Year Capital & Operations Program	Claimants for transit service		

TDA – 1

TRANSPORTATION DEVELOPMENT ACT CLAIM

TO: Sacramento Area Council of Governments
1415 L Street, Suite 300
Sacramento, CA 95814

FROM: City of Wheatland
111 C Street
Wheatland, CA 95692
Contact Person: Susan Mahoney
Phone: 530.633.2761 Email: smahoney@wheatland.ca.gov

The above claimant hereby requests, in accordance with authority granted under the Transportation Development Act and applicable rules and regulations adopted by the Sacramento Area Council of Governments (SACOG), that its request for funding be approved as follows:

Funding Source	Amount	Fiscal Year
LTF	\$77,955	FY 20/21
STA		
STA-SGR		

Submitted By: Susan Mahoney
Title: Finance Director
Date: February 10, 2021

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ANNUAL PROJECT AND EXPENDITURE PLAN

Claimant: **City of Wheatland**

Fiscal Year: **2020/2021**

Project Title and TDA Article Number	SOURCES OF FUNDING								
	TDA LTF	TDA STA	TDA STA- SGR	Transit Fares	Meas A	Road Fund	Dev Fees/ Constr Tax	Fed/ State	TOTAL
Street Maintenance Art. 8, Sec 99402	\$75,616								\$75,616
SACOG Planning	2,339								2,339
TOTAL REQUEST	\$77,955								\$77,955

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STATUS OF PREVIOUSLY APPROVED PROJECTS

Instructions: Describe the status of all prior fiscal year TDA claim projects and any projects from previous years which are still active.

- Include both operating and capital projects
- Approved amounts should be as specified in TDA claims approved by SACOG
- Expenditures should be to-date
- Project status should be either "Complete" or "Active"

Fiscal Year	Project Title	Amount Approved	Expenditures	Project Status
2018/2019	Street Maintenance	\$71,551	\$71,551	Complete
2019/2020	Street Maintenance	\$84,358	\$13,171	Active
TOTAL		\$155,909	\$84,722	

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STATEMENT OF CONFORMANCE

Form TDA-4 must be completed and signed by the administrative office of the submitting claimant.

The City of Wheatland hereby certifies that the Transportation Development Act claim for fiscal year 2020/2021 in the amount of:

LTF	\$77,955
STA	\$0
STA-SGR	\$0
TOTAL	\$77,955

conforms to the requirements of the Transportation Development Act and applicable rules and regulations. *(see attached A for listing of conformance requirements)*

Certified by:

Susan Mahoney
Finance

Date

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TDA Claim Certification Form

I, Susan Mahoney, Finance Director for the City of Wheatland, do hereby attest, as required under the California Code of Regulations, Title 21, Division 3, Chapter 2, Section 6632, to the reasonableness and accuracy of the following:

- (a) The attached budget or proposed budget for FY 2020/2021

- (b) The attached certification by the Department of the California Highway Patrol verifying that _____N/A_____ is in compliance with Section 1808.1 of the Vehicle Code, as required in Public Utilities Code Section 99251.

- (c) The estimated amount of maximum eligibility for moneys from the local transportation fund and state assistance fund, as defined in Section 6634, is \$77,955.

Finance Director Signature

Agency Name

Date

ATTACHMENT A
CONFORMANCE – TDA CLAIMANTS
Standard Assurances

- 1) 180-Day Certified Fiscal Audit (*Applies to all claims; SACOG administers fiscal audits for all operators in the region, with the exception of the Sacramento Regional Transit District*) – Assurance that the claimant has submitted a satisfactory independent fiscal audit, with required certification, to SACOG and to the State Controller not more than 180 days after the end of the prior fiscal year (Sections 99245 and 6664).
- 2) 90-Day Annual State Controller Report (*Applies to all transit claims*) – Assurance that claimant has submitted this report to the State Controller in conformance with the uniform system of accounts and record not more than 90 days after the end of the prior fiscal year)110 days for electronically submissions) (Section 99243). Claimant should also supply a copy of the State Controller report (SCR) to SACOG no more than 120 days after the end of the prior fiscal year.
- 3) Use of Federal Funds (*Applies to all Article 4 claims*) – Claimant filing a claim for TDA funds for capital intensive projects pursuant to Section 99268.7 certifies that it has made every effort to obtain federal funding for any project which is funded pursuant to Section 99268.7 **and** Claimant qualifying for funds pursuant to Section 99268.1 and filing a claim for TDA funds in excess of the amount allowed by Section 99268 certifies that such funds are required in order to obtain maximum federal operating funds in the year such funds are claimed pursuant to Section 6633.1.
- 4) Elderly/Disabled (*Applies to all transit claims*) – That the transit operator in question is in compliance with Section 99155 pertaining to reduced transit fares for elderly and disabled persons and Section 99155.5 pertaining to dial-a-ride and paratransit services.
- 5) Farebox Recovery Ratio Requirements (*Applies to all transit claims*) – Claimant filing a claim for LTF or STA funds certifies that it will maintain for the project that ratio of fare revenues and local support to operating cost required under Sections 99268 (including all subparts), 99270.1, 99270.2, 99270.6, and under the “Farebox Requirements for Urbanized and Non-Urbanized Service” adopted by the SACOG Board of Directors on March 18, 1982, whichever is appropriate.

EXCEPTIONS:

- 6) 50% Expenditure Limitation (*Applies only to claims for LTF*) – Claimant certifies that it was in compliance with Section 99268 certifying that it (the claim) will not exceed 50% of the amount required to meet operating, maintenance, capital and debt service costs of the transit system after deduction of approved federal grants and STA funds estimated to be received for the system. (A claimant can receive up to 100% of capital costs for grade-separated mass transit projects under Section 99268 and 99281, for capital intensive transit-related projects under Section 99268.7 and for extension of services under Section 6619.1 and 6633.8)
- 7) Extension of Services (*Applies only to LTF Claims*) – Claimant who received an allocation of LTF funds for extension of service pursuant to Section 99268.8 certifies that it will file a report of these services pursuant to Section 6633.8(b) within 90 days after close of the fiscal year in which that allocation was granted.
- 8) Retirement System (*Applies only to LTF claims*) – Claimant certifies that (1) the current cost of its retirement system is fully funded with respect to the officers and employees of its public transportation system; or (2) the operator is implementing a plan approved by SACOG which will fully fund the retirement system for such officers and employees with 40 years; or (3) the operator has a private pension plan which sets aside and invests, on a current basis, funds sufficient to provide for the payment of future pension benefits and which is fully compliant with the requirements stated in Section 99272 and 99273.
- 9) Maximum Use of Local Transportation Funds (*Applies only to Sacramento Regional Transit District STA claim*) – That the operator is receiving the maximum allowable amount from the Local Transportation Fund.
- 10) Part-Time Employees (*Applies only to claims for STA*) – Claimant certifies that it is not precluded by any contract entered into on or after June 28, 1979 from employing part-time drivers or contracting with common carriers of persons operating under a franchise or license. Claimant further certifies that no person who was a full-time employee on June 28, 1979 shall have his/her employment terminated or his/her regular hours of employment, excluding overtime, reduced as a result of it employing part-time drivers or contracting with such common carriers.
- 11) Conformance with the Metropolitan (Regional) Transportation Plan (*Applies only to claims for STA*) – Claimant certifies that all of the purposes for claim expenditures are in conformance with the current Short Range Transit Plan, which is an appendix to the Metropolitan (Regional) Transportation Plan.
- 12) Full Use of Federal Funds (*Applies only to STA claims*) – Claimant certifies that it is making full use of federal funds available under the Urban Mass Transportation Act of 1964, as amended.
- 13) Implementation of Productivity Improvements (*Applies only to STA claims*) – Claimant certifies that the operator has made a reasonable effort to implement the productivity improvements recommended pursuant to Section 99244.